



NDRC MEMORANDUM #2

TO: City Governments and County Governments (receiving a disaster declaration in 2011, 2012 or 2013)

CC: Local Districts, Regional Planning Commissions, Engineers, Architects, Planners, Developers, Community Action Agencies, Local Emergency Managers, Utilities, Non-Profits, Statewide Associations, State Agencies, and Other Interested Parties

FROM: Sallie Hemenway, Director, Division of Business and Community Services
Andy Papen, Manager, State Community Development Block Grant Program
Missouri Department of Economic Development

DATE: December 30, 2014

This is the second in a series of Memoranda intended to assist communities in making a proposal under the National Disaster Resiliency Competition. This memo will focus on the remaining criteria found in the Part 1 application which will make a successful proposal. This memo **assumes the eligibility thresholds outlined in the first memo have been achieved.** (It does not assume, that all narrative requirements related to the threshold eligibility criteria, have been written, however.)

As you recall from Memorandum #1, the Part 1 applicant is one which:

1. **Broadly engaged with the community** and **consulted with experts** to identify the most impacted communities, unmet recovery needs, and revitalization objectives.
2. **Discovered the most significant vulnerabilities and future risks** facing the affected communities **using the best available science and data.**
3. **Identified opportunities for regional collaboration** or multi-state approaches.
4. **Engaged potential public and private partners.**
5. **Committed to actions that permanently increase community resilience** (e.g., building code updates, integration of Hazard Mitigation and Consolidated Plans, etc.)
6. Proposed **innovative approaches to address unmet disaster needs that deliver resilience** to future disasters and multiple benefits (e.g., economic revitalization, job creation, community amenities)

[Emphasis added]

Applicant/Application

In the formal sense of the word, the State of Missouri, Department of Economic Development is “the Applicant”. No other community in Missouri, except the City of Joplin, may make an application to HUD under this NOFA. DED, however, can sponsor as many or as few proposals under its Part 1 submission as it desires.

The State has chosen to accept proposals from local governments rather than “carry out” activities itself as a State. As stated above, the State is the applicant for NDRC; references in this memorandum to “State” “DED” and “applicant” may be considered interchangeable. Likewise, references to “local government”, UGLG (Unit of General Local Government), “local entity”, “local”, “community”, and “target area” may also be considered as interchangeable.

The NOFA is written as if the applicant (DED) is answering the narrative questions, undertaking the task to prove threshold eligibility, garnering public input, garnering stakeholder input, forming partnerships, and gaining feedback from agencies identified as necessary in the “consultation process”. While the State will provide extensive detail in relevant sections of the application Narratives (including statewide resilience efforts, engaging consultation partners, recommending resources, etc) and the state will sign all of the Certifications as well as enter into the necessary Partnership Agreements, **each interested community target area that DED sponsors must not only engage in the planning process, but they must help provide narrative information and back up data that DED will use in the ultimate submission.**

How well we coordinate these efforts will result an invitation to submit actual projects under Part 2 of the NOFA.

Next Steps

It is therefore necessary for the eligible applicant to move on to complete the next steps that will assist with the application submission. As the list above suggests, if you have not already done so, or if you are in the middle of it now, it is time to gather your partners, conduct an analysis of the unmet needs, consult with stakeholders, agree on an idea, make a commitment toward resiliency, draft the narratives, and prepare to submit the next round of required paperwork to DED.

Once the community has framed the recovery need(s), identified current and future risks and vulnerabilities and noted community development opportunities, the community will identify and seek commitments from the public and private partners it needs to develop and implement a solution, and develop a high level implementation idea. The submission in Phase 1 of the NDRC to HUD will describe this framing process and its results, identify the partners and other resources, and describe the resulting resilient recovery concept or idea.

Important Deadlines to Complete Missouri’s Application

- DED Memorandum Number 1 released December 3
This memo provided instructions to determine eligibility.
- Webinar #1 posted December 18
This webinar provides more detail toward determining eligibility.
- DED Memorandum Number 2 released from DED December 31

This memo describes the next steps in the planning process, narrative questions and other content required for eligible applicants.

- One page eligibility documents due in DED office no later than 5pm on January 15, 2015 (but ASAP)

These one page documents are just a review to see if the eligibility requirements have been met which will allow entities to move forward with the next steps.

- Eligible applications due **in DED office** by noon on February 13, 2015

This requirement means all narrative questions and supporting documentation (outlined herein - Memo #2) are submitted to DED using correct format, etc.

- February 16-20 – Partner agency consultation

DED will send draft proposal to partners for input.

- February 20-March 6 – Public comment period

Application public comment period required.

- March 6-16 Edits/Additions/Amendments

The consultation and public comment is integrated into application documents through revision. Local government proposals may be asked for supplemental information to strengthen application.

- March 16, 2015 – final submission due to HUD

Content:

The following represents concepts and content of the application which HUD and the Rockefeller Foundation have included as critical components of a successful application and necessary to **Framing the complete range of unmet needs – Content of proposals sought in**

Part 1:

- **Resiliency and community development planning/climate change**

The NOFA instructs applicants to consider the full range of its community development objectives, and to design CDBG-DR projects to function well through reasonably foreseeable future conditions, including those related to climate change. Grantees are encouraged not to rebuild to meet past needs or threats, but to design recovery projects to create a more vital, resilient community for the present and future, taking into consideration changing threats and hazards, including those due to climate change. Use thoughtful, evidence based practices, incorporating consideration of the latest findings regarding the range of possible effects of climate change and other risks on the target geography during the useful life of any proposed project.

To assist with some of the data collection, DED has compiled some sources that may assist you. Please see **Attachment A**.

- **Recognizing and addressing vulnerable populations**

Particular emphasis should be given to addressing the current and anticipated needs of vulnerable populations. The goal is to have projects that allow a community to withstand and recover more quickly from all future extreme events, shocks or stresses. Vulnerable populations are groups or communities whose circumstances present barriers to obtaining or understanding information or accessing resources. HUD notes that research and

HUD's disaster recovery experience indicate that lower-income persons are less able to recover from the effects of the disaster.

- Leverage and Outcomes

For Outcomes, HUD is seeking information on how the community is gaining multiple benefits from its resilience proposal; how sustainable the action will be; how it can be implemented in a financially and environmentally sound way; how it gains economic revitalization; and how you will measure success. For leverage, HUD is assessing your knowledge, research and thoughts of how other partners and resources could play a part, what fiscal impacts may occur as a result of your action (insurance rates, etc); how cost savings may be incorporated into the sustainability of the action; and what kind of commitments you may have gained to date (**Part 2 will require firm commitments, if invited.**)

- Committing to resilience enhancing actions

Applicants will be required to commit to resilience actions to protect their communities from threat(s) and hazard(s), as well as to ensure the useful life of their projects under changing conditions. **HUD will only invite an applicant to Part 2 if such a commitment is made.**

- Enlisting Partners

Partner means a State, unit of local government, non-profit, private developer, financial institution, or other entity chosen by the Applicant to assist with the potential project. The NOFA requires letters of intent from Partners that describe their role which also adds to capacity, design, financing and implementation. Examples of state agency partners may include the Departments of Economic Development, Natural Resources, including the State Historic Preservation Office, Emergency Management, Transportation, Health, and the Missouri Housing Development Commission. Examples of regional organizations may include Chambers, Regional Planning Commissions, and Watershed districts. And examples of federal agencies may include USDA; the Corps of Engineers, EPA; and others. The relevance of partners is dependent upon the proposed activity; however they may be included as stakeholders in your framing process. **Attachment B** provides a sample copy of the Partner letters that must accompany the Part 1 application. Both the state and the entities that it sponsors must garner Partner letters to attach to the application.

- Consultation with Stakeholders

Applicants **and** the entities that the state sponsors under the NOFA must indicate in the Part 1 submission who they have consulted with to prove citizen participation and outreach. The Part 1 application requires the completion of a Citizen Participation and Consultation Summary Chart (as provided below) that indicates the agency, organization or stakeholder group by name, the type and target population of that agency or organization, the type of outreach made and the method of notification used.

HUD has provided a list of stakeholders (**Attachment C**) to ensure applicants conduct thorough consultation through the development of Part 1 and Part 2. The Part 1

application may include **previous (completed prior to NOFA publication but related)** stakeholder consultations, **current (completed since NOFA publication)**, and **future (planned)** stakeholder consultations.

We anticipate two layers of consultation and engagement. DED plans to contact each of the agencies listed to describe the overall NOFA opportunity. We have also built in an opportunity prior to submission of the application to gain their feedback from the State level. Local entities with proposals must also reach out to the comparable local entity for feedback. There are also likely unique statewide, regional, and local organizations not included on the list provided by HUD that are engaged in the process. Those organizations should definitely be included, as well.

Agency Name or Stakeholder	Agency Type - Target Population (If applicable)	Type of Outreach	- Method of Notification (if applicable) - Materials Provided
<i>Example</i>	<i>Local Government – low income households</i>	<i>Meeting</i>	<i>- Summary of needs and alternative approaches</i>
<i>Example 2</i>	<i>N/A - Residents of disaster-affected area</i>	<i>Public Meeting</i>	<i>- Posted meeting announcement on Agency website, electronic mail sent to leaders of community and neighborhood groups - Presentation of needs and results of preliminary environmental assessments.</i>

- Tie-back to the Disaster

All Applicants are required to demonstrate a tie-back to the disaster. A tie-back reasonably shows how the effects of the Qualified Disaster resulted in an Unmet Recovery Need that can be addressed the NDRC assisted activities. Or, framed another way, how the proposed project reasonably “ties back” to addressing demonstrated direct and indirect effects of the disaster. Once complete, your project can address the unmet need along with co-benefits that meet other community development objectives including greater resilience. HUD has determined that designing a project that improves resilience to the impacts of climate change while meeting an unmet need is a necessary and reasonable cost of recovery.

- Phase 1 Partnership Agreement

The NOFA actually allows the State to administer directly all successful projects receiving funding under the Competition. While there may be a case where DED chooses to apply that tactic, it is our current vision to work with the local government entity in the same manner as we do our “regular” CDBG program. We would enter into a Funding Approval and Grant Agreement which would spell out the grantee/subgrantee requirements for compliance similar to our normal process. In the same spirit as those Agreements that DED initiates with all of its current grantees, the NOFA requires a Partnership Agreement (contingent upon funding) be submitted with the Application that essentially indicates that at the point of funding, we will initiate a formal Agreement with any local government or other eligible entity whose jurisdiction covers the targeted area proposed. **Attachment D** is a sample copy of that Partnership Agreement.

Understanding the NOFA by understanding the Application Rating Factors for Part 1:

Sometimes it is easier to understand the way to organize and address your planning by understanding what is important to the funding agency. **Attachment E** contains a complete representation of the rating and scoring HUD will use for applications submitted under Part 1. It also includes the **narrative questions** that will be addressed through a **combined effort of the State and our consultation partners as the applicant and the local entity proposing to apply under the State’s umbrella.**

This memorandum will attempt to identify the exact content that we need from each of Missouri’s target area proposals in order to supplement the state’s response to the narrative questions and attachments.

Understanding the NOFA by understanding the specific Part 1 application content:

Again, it is important to remember that the State of Missouri Department of Economic Development is the applicant. This section of the memorandum attempts to organize and address the required submissions from the local entities that desire to be a part of the state’s application.

The following represents the requirements of the application as a whole. This is included here so that you may understand the full content the **state** is required to submit. The sections where you need to submit information to DED is marked clearly. For each item listed below, there is a designation of STATE ONLY; LOCAL ONLY; or STATE AND LOCAL. This designation refers to which entity(s) must provide a response to the particular section.

Please make sure that you:

1. Provide your information in the prescribed format.
2. Submit it by the deadline noted herein, to DED.
3. Stay within the maximum page limits. (Note: the State is only allowed 40 pages total for all of the narratives combined, plus an additional 5 pages for each separate Threshold Requirements section for each proposal that we support. Please keep your narratives concise.)

The application includes: Exhibits, Attachments, and Forms. Each is laid out in those categories below.

Narrative Exhibits

The narrative **exhibits** required in your applications are as follows (a) – (g):

- (a) **Exhibit A – Executive Summary.** Please summarize your application briefly and specifically (suggested 2 pages maximum) **STATE AND LOCAL**

Exhibit B makes up the eligibility section:

- (b) **Exhibit B – Threshold Requirements.** Provide a narrative response to each of the threshold requirements. Include Place Name and/or Census Tract code, or other Sub-County Area of targeted area. (limit 5 pages per targeted area) **LOCAL ONLY**

NOTE: Refer to Memo #1 (or the complete relevant section of the NOFA labeled “Threshold Requirements posted on the DED NDRC website) and be sure to complete all of the narratives and include all of the data sources used to prove your eligibility of (1) located in a declared county (2) meets most impacted definition (3) meets distressed definition (4) meets unmet need definition. Your one-page summary to DED likely did not address the type of detail required here.

The following may be used as an outline of Threshold Requirements document:

- Eligible County
- Most impacted
- Most distressed
- Unmet need
- Eligible Activity (must be CDBG eligible)
- Proposal incorporates resilience
- Meets CDBG National Objective (51% LMI benefit, eliminate slum and blight, urgent threat to health and safety)
- Application meets overall benefit (50% of aggregate application must meet LMI-State requirement)
- Tie back to disaster explanation

The next 5 Exhibits (c-g) are the factors under which the Narrative Questions reside. They are also the factors for which points are assigned to score the application:

- (c) **Exhibit C – Capacity.** Provide a narrative response to the Capacity Factor. **STATE AND LOCAL**

- (d) **Exhibit D – Need.** Provide a narrative response to the Need Factor. **STATE AND LOCAL**

- (e) **Exhibit E – Soundness of Approach.** Provide a narrative response to the Soundness of Approach Factor. **STATE AND LOCAL**

- (f) **Exhibit F – Leverage.** Provide a narrative response to the Leverage Factor. **STATE AND LOCAL**

- (g) **Exhibit G – Long-Term Commitment.** Provide a narrative response to Long-Term Commitment Factor. **STATE AND LOCAL**

Attachments

The attachments required in your applications, unless otherwise noted, do not count against page limits and are as follows:

(a) Attachment A – Partner documentation. Documents required under Attachment B. The State will execute a version of Attachment D with every community with a proposal supported under the state’s application. ***STATE AND LOCAL***

(b) Attachment B – Leverage documentation. Provide supporting documentation for the leverage factor, including letters of commitment, as described in the Leverage Factor. ***STATE AND LOCAL***

(c) Attachment C – CDBG-NDR Application Certifications. Sign, date, and submit the certifications provided in Appendix F. ***STATE ONLY***

(d) Attachment D – Consultation Summary. Complete the Consultation Summary described in Appendix J and submits it with your Part 1 application. If you are invited to Part 2, provide a separate updated Consultation Summary with your application. ***STATE AND LOCAL***

(e) Attachment E –Maps and Drawings (optional). Maps, drawings, renderings, and other graphical representations of the project or most impacted and distressed target area and overall project geography submitted uploaded to grants.gov (total application must not exceed 200 MB in size), or by providing a password-protected link in your Executive Summary to a cloud storage service such as Dropbox, Google Drive, Microsoft OneDrive, Box, or Bitcasa. Files must be in a PDF or JPEG format. Include relevant copyright or ownership information within the body of each file. All submitted files must be referenced in your narratives by filename. HUD will not open or review unreferenced files. Such graphical representations will not count against the page limits provided they include no narrative text or data tables. Labels, legends, data sources, and copyright information are acceptable and expected. ***STATE AND LOCAL – note: the State’s IT division does not allow the use of cloud storage. We can however, include the link and password in our application if the document is stored by the community making a proposal under our umbrella. The file must be correctly referenced and contain a proper filename. A hard copy of the file content must be sent to DED.***

(f) Attachment F – Benefit-Cost Analysis. Submit your Benefit-Cost Analysis for each Phase 2 Covered Project, completed as described in Appendix H. (Phase 2 applications for covered projects only) ***NOT APPLICABLE IN PART 1***

(g) Attachment G – Waiver Requests (optional). Submit your waiver request(s) as described in Appendix E. ***STATE ONLY***

(h) Attachment H – Crosswalk Checklist. Complete and submit the Crosswalk Checklist from Appendix K of the NOFA. HUD will use this document during review to locate all required responses. When you fill out this checklist, you will be ensuring that your submission is complete and responds to all required elements of the NOFA. ***STATE ONLY***

Forms:

(i) **Standard Forms.** Complete and provide the forms listed below. The Applicant must be the signatory for these forms. **STATE ONLY**

1. **Application for Federal Assistance** (SF-424). Applicants must include the nine digit zip code (zip code plus four digits) associated to the applicant address in box 8d of the SF424. The DUNS number used must have an active registration in SAM. **STATE ONLY**

2. **Disclosure of Lobbying Activities** (SF-LLL). If this form does not apply, indicate that (e.g., writing “N/A”) on the form and submit it with your application. **STATE ONLY**

3. **Applicant/Recipient Disclosure/Update Report** (form HUD-2880) (“HUD Applicant Recipient Disclosure Report”); **STATE ONLY**

Format/Application Layout:

The application layout is prescribed below. In order for DED to compile the information readily into one document, please provide your materials using this same format so that we may “cut and Paste” as much as possible.

The first part of your application for each Phase will be comprised of narrative exhibits. Your narratives will respond to thresholds, rating factors, and other criteria in the NOFA, as indicated below.

The second part of your application for each Phase will be comprised of attachments. These documents will also respond to the rating factors in the NOFA, as well as threshold and mandatory documentation requirements. They may include documents such as project renderings and visualizations, maps, photographs, application data, and various certifications.

1. Double-space your narrative exhibit pages. Single-spaced pages will be counted as two pages;
2. Use 8-1/2 x 11-inch paper;
3. All margins should be approximately one inch. If any margin is smaller than 1/2 inch, the page will be counted as two pages;
4. Use 12-point, Times New Roman font;
5. Any pages marked as sub-pages (e.g., with numbers and letters such as 25A, 25B, 25C), will be treated as separate pages;
6. If a section is not applicable, indicate “N/A” so that there is a clear indication to HUD (do not just leave the section blank);
7. Mark each Exhibit and Attachment with the appropriate tab/title page, as listed below. No material on the tab/title page will be considered for review purposes;
8. No more than one page of text may be placed on one sheet of paper; i.e., you may not shrink pages to get two or more on a page. Shrunk pages, or pages where a minimized/reduced font are used, will be counted as multiple pages;
9. Do not format your narrative exhibits in columns. Pages with text in columns will be counted as two pages;

10. Any tables included in the narrative exhibits of the application must also be double spaced or they will be counted twice.
11. All pages should be numbered. HUD recommends that applicants consecutively number the pages of the Attachments section to ensure proper assembly of their application if printed.
12. Submit the documents to DED electronically in a Word format. Do not convert the documents to PDF. A written set may also be sent to DED to make sure we are able to access and represent the proposal as desired.
13. All submissions are subject to DED editing to ensure they fit within the required parameters of the application under Grants.gov, as well as the maximum page numbers, and to allow application flow and ease of understanding.

A checklist for your submission by no later than noon on February 13, 2015:

- ☐ Proposal Summary document (no longer than 2 pages)
 - Eligible Applicant
- ☐ Threshold Requirements document (no longer than 5 pages) including data sources and references (surveys, census information, other data used)
 - Most impacted
 - Most distressed
 - Unmet need
 - Eligible Activity (must be CDBG eligible)
 - Proposal incorporates resilience
 - Meets CDBG National Objective (51% LMI benefit, eliminate slum and blight, urgent threat to health and safety)
 - Application meets overall benefit (50% of aggregate application must meet LMI-State requirement)
 - Tie back to disaster explanation
- ☐ Narrative responses (concise – total state application cannot exceed 38 pages)
 - Capacity
 - Need
 - Soundness of Approach
 - Leverage
 - Long Term Commitment
- ☐ Partner letters
- ☐ Partnership Agreement with DED
- ☐ Leverage documents, as applicable
- ☐ Consultation summary form
- ☐ Maps, drawings, renderings

Notes:

1. DED is limited to a certain megabyte size of its entire application. In order to manage the size, any large documents such as maps or renderings may be provided by link to a cloud server accessible by password. The link, filename, password and a hard copy of the content of the file must be provided to DED. DED is not allowed to store records on a cloud server but we will accept the links if properly referenced and named, if they are stored locally.
2. Any private or closed records, such as survey information of businesses used to indicate sales losses may be provided in a protected file with a password in the same manner as above. Please do not transmit closed records electronically with the application. Consult with DED on the best means to provide the data in a manner to respect the privacy.

Attachment A

Resource Links for Climate, Weather, and Related Information:

SEMA Declared Disasters in Missouri –

The State Emergency Management Agency maintains a list of natural disasters (ice storms, tornadoes, severe storms, and flooding) declared in Missouri dating back to the 1950s.

http://sema.dps.mo.gov/maps_and_disasters/disasters/

U.S. Energy Information Administration (EIA) Environment Data –

The EIA maintains data on carbon dioxide emissions from energy consumption on a state level determining carbon output. The data dates from 1980.

<http://www.eia.gov/environment/data.cfm>

NOAA National Climatic Data Center Quick Links –

The NOAA maintains a list of links to weather and climate data on a local and state level.

<http://www.ncdc.noaa.gov/data-access/quick-links>

Storm Events Database –

This database tracks and calculates all major storm events from 1950 – present by state and county.

<http://www.ncdc.noaa.gov/stormevents/>

MRCC's Application Tools Environment –

This website collects and display climate data such as temperature, precipitation, and drought information for state and local areas from 1895 to present. It also has rankings for statewide climate data.

<http://mrcc.isws.illinois.edu/CLIMATE/>

NNDC Climate Data Online –

This website, via NOAA, has climate data that is downloadable by text file from 2006 to present. The geography covers statewide and local areas. The data that is presented includes temperature, dew point, wind speed, and precipitation.

<http://www7.ncdc.noaa.gov/CDO/cdoselect.cmd?datasetabbv=GSOD&countryabbv=&georegionabbv=>

Climate Change Plan -

<http://www.whitehouse.gov/sites/default/files/image/president27climateactionplan.pdf>

Climate Change Infographic -

<http://www.whitehouse.gov/climate-change>

Attachment B

(also known as Appendix C in HUD NOFA)

Partner Letter

NOTE: All Partners must submit a letter of intent to participate as part of the Grantee's application for CDBG-NDR funds for Phase I. For Phase 2, a letter of intent to participate and a binding cooperation, subrecipient, or developer agreement, or a contract, as applicable and contingent upon award, must be submitted with the Grantee's application for CDBG-NDR funds. If the Grantee's application for Phase I includes the implementation of CDBG-NDR activities in addition to planning and designing, then the Phase I application must include both a letter of intent to participate and a binding cooperation, subrecipient or developer agreement, or a contract, as applicable and contingent upon award.

Note: Local sponsors - Please make these 3 party "partner" letters between yourself (the local government), DED representing the State of MO, and your partner.

Sample letter – scanned original signed by Partner on Partner's letterhead:

Partner

Address

Re: Intent to Participate

This letter is to confirm the mutual intent of the Department of Economic Development, representing the State of Missouri, the [local government] and [partner] to collaborate and enter into a partner agreement [or other agreement], contingent upon the award of funds from the United States Department of Housing and Urban Development for the Community Development Block Grant National Disaster Resilience (CDBG-NDR) competition, to carry out eligible activities as provided in the State of Missouri's CDBG-NDR application.

[Provide a brief description of partner – type of organization, experience, etc.]

[Provide a brief description of structure of proposed partnership – partner's proposed role, i.e., subrecipient, contractor, developer, etc., and the partner's proposed duties]

It is understood that this letter is only an expression of our intent and a binding partner agreement [or other agreement] detailing the terms and conditions of the proposed partnership must be executed before the use of any CDBG-NDR funds, if awarded.

[Partner]

[Signature of Authorized Representative of Partner]

Attachment C – Consultation Partners

Public and private agencies and businesses as required by 24 CFR 91.110 (a)-(e);

- Public and private agencies that provide:
 - Assisted housing
 - Health services
 - Social and fair housing services, including those focused on services to:
 - Children
 - Elderly persons
 - Persons with disabilities
 - Persons with HIV/AIDS and their families
 - Homeless persons
- State housing agency administering public housing
- For Applications that address or potentially affect the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) and persons at risk of homelessness, the State must consult with:
 - Each Continuum of Care within disaster affected counties within the State;
 - Public and private agencies that address housing, health, social services, victim services, employment, or education needs of:
 - low-income individuals and families;
 - homeless individuals and families, including homeless veterans;
 - youth; and/or
 - other persons with special needs.
 - Publicly funded institutions and systems of care that may discharge persons into homelessness (such as health-care facilities, foster care and other youth facilities and corrections programs and institutions; and
 - Business and civic leaders.
- State or local health and child welfare agencies, relative to lead-based paint hazards;
- All disaster-affected UGLGs, including any CDBG entitlement communities not identified as eligible applicants for CDBG-NDR funds; and
- Any other local or regional agencies with metropolitan-wide planning responsibilities in disaster- affected areas (particularly for problems and solutions that go beyond a single jurisdiction). Examples include:
 - Metropolitan Planning Organizations designated by the U.S. Department of Transportation;
 - Economic Development Districts designated by the U.S. Department of Commerce – Economic Development Administration
 - Watershed Councils; or
 - Regional councils of government.
- Tribes within or adjacent to disaster-affected areas;

- Adjacent States UGLGs and other stakeholders and affected parties in the geographic areas surrounding potential projects to ensure consistency with applicable regional redevelopment plans;
- In order to obtain public input needed to fulfill environmental review and environmental justice responsibilities, Applicants are also encouraged to consult with:
 - Regional Offices of the EPA
 - Environmental organizations
 - Community and neighborhood groups in potential project areas
 - Historic preservation groups in potential project area
 - State department overseeing environmental quality
 - State Historic Preservation Officer
 - State health department
 - State or regional planning department
 - State emergency management agency
 - State Coastal Zone Management Agency if appropriate

Attachment D

(also known as Appendix D in HUD NOFA)

DRAFT PARTNERSHIP AGREEMENT
BETWEEN State of Missouri, Department of Economic Development
AND
[Local Government]
FOR
Community Development Block Grant National Disaster Resilience Competition
(CDBG-NDR)

THIS AGREEMENT, entered this ____ day of _____, 20____ by and between the State of Missouri Department of Economic Development (herein called the “Applicant”) and _____ (herein called the “Partner”).

WHEREAS, the Applicant has applied for funds from the United States Department of Housing and Urban Development under the Disaster Relief Appropriations Act, 2013, Public Law 113-2, for the Community Development Block Grant National Disaster Resilience (CDBG-NDR) competition; and

WHEREAS, the Applicant wishes to engage the Partner to assist the Applicant in using such funds if awarded;

NOW, THEREFORE, it is agreed between the parties hereto, contingent upon the award of CDBG-NDR funds to the Applicant, that;

I. SUBRECIPIENT AGREEMENT/DEVELOPER AGREEMENT/CONTRACT

If the Applicant is awarded a CDBG-NDR grant from HUD, the Applicant/Grantee shall execute a written subrecipient agreement, developer agreement, contract, or other agreement, as applicable, with the Partner, for the use of the CDBG-NDR funds before disbursing any CDBG-NDR funds to the Partner. The written agreement must conform with all CDBG-NDR requirements and shall require the Partner to comply with all applicable CDBG-NDR requirements, including those found in Disaster Relief Appropriations Act, 2013 (Public Law 113-2), title I of the Housing and Community Development Act of 1974 (42 USC 5302 et seq.), the CDBG program regulations at 24 CFR part 570, the Notice of Funding Availability for HUD’s National Community Development Block Grant Resilient Disaster Recovery Allocation and any subsequent published amendments (the CDBG-NDR NOFA), and the Applicant’s CDBG-NDR NOFA application.

II. SCOPE OF SERVICE

A. Activities

The Partner will be responsible for using CDBG-NDR funds to carry out activities in a manner satisfactory to the Applicant and consistent with any standards required as a condition of providing these funds. Such use will be in compliance with the CDBG-NDR NOFA, the Applicant/Grantee’s application for CDBG-NDR assistance and the Applicant/Grantee’s Grant Agreement for CDBG-NDR. Such use will include the following activities:

Program/Project Delivery

Activity #1 *[Complete description of activity to be undertaken including what products or services are to be performed, geographic target area where the activity is to be provided, for whom the activity is to be provided, how the activity is to be provided]*

Activity #2 *[Same description as above]*

Activity #3 *[Same description as above]*

B. Project Schedule

CDBG-NDR funding is subject to strict statutory deadlines for expenditure. In accordance with section 904(c) of title IX of the Disaster Relief Appropriations Act, 2013, a Grantee is required to expend all CDBG-NDR funds within two years of the date that HUD signs the grant agreement. Consistent with this duty, the Partner is required to complete all CDBG-NDR assisted activities identified in section II.A above within 24 months.

The Partner agrees to implement the following:

[Include proposed project schedule]

C. Staffing

III. BUDGET

[Note that the original proposed budget may be adjusted should HUD award less than the amount requested in the application.]

The Applicant/Grantee may require a more detailed budget breakdown than the one contained herein, and the Partner shall provide such supplementary budget information in a timely fashion in the form and content prescribed by the Applicant/Grantee. Any amendments to the budget must be approved in writing by both the Applicant/Grantee and the Partner.

IV. SPECIAL CONDITIONS

[This section of the Agreement can be used by the Applicant to include special conditions specific to the particular activity or Partner.]

V. SEVERABILITY

If any provision of this Agreement is held invalid, the remainder of the Agreement shall not be affected thereby and all other parts of this Agreement shall nevertheless be in full force and effect.

VI. SECTION HEADINGS AND SUBHEADINGS

The section headings and subheadings contained in this Agreement are included for convenience only and shall not limit or otherwise affect the terms of this Agreement.

VII. WAIVER

The Applicant's failure to act with respect to a breach by the Partner does not waive its right to act with respect to subsequent or similar breaches. The failure of the Applicant to exercise or enforce any right or provision shall not constitute a waiver of such right or provision.

VIII. ENTIRE AGREEMENT

This Agreement between the Partner and the Applicant for the use of CDBG-NDR funds, supersedes all prior or contemporaneous communications and proposals, whether electronic, oral, or written between the Partner and the Applicant/Grantee with respect to this Agreement. By way of signing this agreement, the Partner is bound to perform the agreements within this agreement or any HUD approved amendment thereof. Any amendment to this agreement must receive prior approval by HUD.

Date _____

IN WITNESS WHEREOF, the Parties have executed this contract as of the date first written above.

[Applicant]

[Partner]

By _____ By _____

Title _____

Attest _____

ASSISTANT [CITY/COUNTY] CLERK

Countersigned: _____ By _____

FINANCE OFFICER

Title _____

APPROVED AS TO FORM AND LEGAL SUFFICIENCY:

Fed. I. D. # _____

ASSISTANT [CITY/COUNTY] ATTORNEY OR LEGAL COUNSEL

AFFIRMATIVE ACTION APPROVAL

CONTRACT COMPLIANCE SUPERVISOR

Attachment E – Rating and Scoring Criteria for Part 1

A. Review Criteria.

A.1. Rating Factors.

Applications must respond to the rating factor criteria of the NOFA as the basis to be rated and ranked. Applicants must review and follow any documentation requirements provided in this Rating Factor Section and the instructions on application organization, content and submission. Minimums in factors and subfactors, as well as the overall total score for each phase, are effectively scored thresholds.

Factor 1: Phase 1

Capacity

Maximum Points: 25

a. General management capacity. (5 Points) You will be rated on the degree to which you demonstrate clear capacity, or a plan to get capacity, in managing federal funds, project management roughly on the scale of your idea or proposal, and leadership capacity to coordinate among proposed partners.

1. Have you decided on a specific government agency to implement the proposed activities? What is its role and management capacity?
2. Describe how the agency has (or plans to obtain) the relevant project management, quality assurance, financial and procurement, and internal control capacity to quickly launch and implement a major project.
3. Describe the agency's experience working with and coordinating partners (including contractors, funders, subrecipients, community stakeholders, and other government agencies) in previous projects similar in scope of scale to the proposed activities. If you do not have such experience, how will you get it?
4. Who wrote this application, state/community staff or a professional technical or grant writer in a consulting or contract capacity? If a professional writer was the drafter, describe how the Applicant staff and decision makers were actively engaged in the writing process and how they will maintain Applicant systems understanding and analytic capacity over time.

b. Cross-disciplinary technical capacity (7 Points). For this subfactor, you will be rated on the degree to which you and any Partners possess sufficient cross-disciplinary capacity to fully design and implement a major project(s).

1. Describe the capacity of each of your partners. Specifically identify areas of expertise for yourself and each Partner.
2. How will you work across disciplines in achieving project goals? What experience does your team have in multi-disciplinary work?
3. What experience do you and your partners have with area-wide or comprehensive planning? With implementing large, complex programs or projects?
4. Describe how you and your Partners have the data analysis, public works, affordable housing, environmental quality, community engagement, design and engineering, affordable

housing, economic revitalization, and other relevant capacity to quickly launch and implement a major project? Alternately, how will you get the capacity?

5. Specifically, do you or your Partner(s) have the capacity to identify and assess science-based information on existing and future risks from climate change? What is your capacity to assess and address possible future conditions and risks and possible benefits and outcomes, including resilience, of project(s) or program(s) over their lifetimes?

6. Do you or any Partner(s) have experience working with civil rights and fair housing issues including, for example, working with data to analyze racial or economic disparities?

7. How will you determine and ensure excellent design quality that enhances long-term resilience? Is the Applicant's capacity to design or plan dependent on Partner capacity? If yes, describe the dependency.

8. What is your plan to regain capacity if a Partner drops out?

9. How do you determine whether a project is cost reasonable? Briefly describe your or your Partners' experience with cost-benefit analysis, such as FEMA's Hazard Mitigation Cost Effectiveness process or cost efficiency analysis for Department of Transportation programs? If you are proposing to work with no partner(s), explain why your approach is appropriate given your circumstances. Also, describe how you will procure or otherwise acquire capacity in the areas listed above, as applicable.

c. Community engagement capacity (7 Points). You will be rated on the extent to which you show capacity and experience with productive engagement with a wide range of community stakeholders, including vulnerable populations.

1. Describe your and your Partners' capacity to engage community stakeholders, including those most likely to be affected by / most vulnerable to future threat(s) and hazard(s) including the effects of climate change, in discussing and identifying unmet recovery and resilience needs, and designing and selecting approaches to address the needs. How will feedback be implemented into the applications? Will outreach be done to continue keeping stakeholders involved in the process if the projects move into implementation?

2. How have you worked with and empowered formal and informal community leaders in the execution of past projects? In the recovery from your Qualified Disaster?

3. Do you or any Partner(s) have experience or capacity working with and harmonizing the contributions of diverse stakeholders in the consultation process?

d. Regional or multi-governmental capacity (6 Points). You will be rated on the extent you clearly demonstrate capacity to reach beyond the most impacted and distressed target area and work on a multi-governmental regional or statewide basis to address disaster recovery and resilience. (HUD strongly encourages using a multi-entity regional organization to expand the reach of the overall resilience effort beyond the most impacted and distressed target area for which CDBG-NDR funds may be used. Use of a multi-entity organization is not required and P.L. 113-2 requires that the Applicant not delegate or contract to any other party any inherently governmental responsibilities related to management of the funds, such as oversight, policy development, and financial management.)

1. What is the extent of your experience working on and effectively addressing regional problems?

2. Describe how you will work regionally on resilience. Are the threat(s) and/or hazard(s) you are addressing regional? Would local solutions negatively affect other areas? Would a regional solution be more practical, protect a greater population, and be more cost effective? Are there best practices that can be used in building this regional approach?

3. Have you considered how a regional approach could reduce protected class-related disparities and improve choices and opportunities for vulnerable populations?
4. Will you use an existing multi-entity organization or establish a new one to carry out your proposed project? If yes, describe the entity's role. If not, describe why not.

Factor 2: Phase 1

Need/Extent of the Problem

Maximum Points: 25

HUD requires all Applicants to frame unmet disaster recovery, disaster relief, affordable housing, restoration of infrastructure, and economic revitalization need using an evidence-based practice approach, to the greatest extent feasible. To that end, the Applicant must cite or provide quality data sources or other evidence or information used in determining Unmet Recovery Need and justifying the conclusion that a particular geographic area is most impacted and distressed as a result of the effects of the Qualified Disaster. See Appendix G for detail and instructions on determining and documenting most impacted and distressed and Unmet Recovery Need.

HUD will provide points for this factor based on your clarity and thoroughness in your response. At a minimum, your response must describe the Unmet Recovery Needs of the most impacted and distressed area(s) as a result of the Qualified Disaster(s).

HUD strongly encourages you to consider regional or statewide resilience needs that can be addressed with leveraged funding sources, and to specifically address present and future recovery, revitalization, and resilience needs resulting from current and projected effects of climate change in the geography considered.

First, provide a narrative *summary* with a cross-reference to your response to the Unmet Recovery Need and Most Impacted and Distressed threshold requirement. In your summary, describe your unmet needs and the characteristics and location of your geographic most impacted and distressed target area(s). HUD is only requiring you to submit one qualified most impacted and distressed target area to meet the threshold to participate in this competition. However, because the only allowable CDBG-NDR costs are necessary expenses tied back to the Unmet Recovery Needs of most impacted and distressed areas related to a Qualified Disaster, you may describe and justify additional most impacted and distressed target areas in your threshold submission and provide a summary for all areas in response to this Factor.

In addition, you must use a comprehensive risk approach to analyzing need that will inform the development of your proposed project or program. Your narrative must describe the science-based risk approach you will employ to select your project, or if proposing a recovery program, the approach you will employ to select projects and activities within your proposed program. At a minimum, your approach must include consideration of historical impacts and forward looking analysis of risks, including climate change and other risks that may affect the resilience of the community such as development patterns. This consideration should be based on a broad range of information and best available data, including forward-looking analyses of risks the affected project from climate change and other hazards, such as the Northeast, Midwest, Great Plains and Southwest United States Regional Climate Trends and Scenarios from the U.S. National Climate Assessment, the Sea Level Rise Tool for Sandy Recovery, or comparable peer-reviewed information. In addition,

your approach should consider, to the extent feasible and appropriate, public health and safety impacts; direct and indirect economic impacts; social impacts; environmental impacts; cascading impacts and interdependencies within and across communities. You should employ an approach that, wherever possible, includes both quantitative and qualitative measures and recognizes the inherent uncertainty in predictive analysis.

In addition to the above, if you plan to approach responses to the factors from a geographic perspective larger than the minimum required geography (as HUD strongly encourages you to), you must provide a summary of the characteristics and location of that larger area(s) as well (5 points for Unmet Needs and 5 points for Impacted and Distressed).

Next, for Phase 1, consider and respond to the following questions, at a minimum as they relate to the Unmet Recovery Need tied back to the most impacted and distressed areas from the Qualified Disaster (15 points).

HUD expects you to comprehensively consider post-disaster threats, hazards, and vulnerabilities.

- What threat(s), hazard(s), or vulnerability(ies) are you are focusing on?
- How did you identify it/them?
- Who and what are/have been/will be affected by events related to them and what are the future risks from the threat(s), hazard(s), or vulnerability(ies)?
- What data and other information did you use to identify the risk(s) or vulnerability(ies) and over what timeframe? The law directs HUD to use the best available data.
- Why is the information you considered the best data in your geographic area?

As reported in the May 2014 National Climate Assessment, effects of climate change are already being felt and will continue to be felt in the future. These effects must be taken into account using a risk management approach, accounting for relevant uncertainties.

- Given the history of your region, climate change projections, demographic and development trends, and other factors as appropriate, what risks is your community facing?
- How serious and likely are the risks?
- What are your “known unknowns”?
- To what extent are public and private buildings, improvements, and residences in your community un-insured or under-insured for the risk(s) you have identified?
- If your community has been subject to repeated flooding, what is the estimated portion of the uninsured structures are subject to the so-called “one bite rule” related to the requirement to maintain federal flood insurance coverage?
- How has this affected and how will this affect your current recovery and future resilience?
- What factors are affecting individual and community decision about purchasing and maintaining sufficient insurance?
- How will addressing the threat(s) and hazard(s) related to this vulnerability(ies) address specific unmet disaster recovery, affordable housing, economic revitalization or restoration of infrastructure needs from the Qualified Disaster?
- How will addressing the risks from this vulnerability help your community recover, protect your community’s recovery projects/efforts, or revitalize your community from the effects of the disaster you had?
- Are there risks with disproportionate effects on any population groups?

- Describe and identify whether the disproportionate effects relate to household income or a particular protected class.
- Will some of the risks disproportionately affect those with accessibility challenges?
- Can potential solutions benefit those with functional needs?
- Does the identified vulnerability(ies) offer any opportunity(ies) for disaster recovery and economic revitalization, including resilience to future and current risk?
- Why is addressing the risk related to this vulnerability important to your state, region, and local community?
- Are there existing conditions in your community that exacerbate vulnerability (e.g. environmental pollution, significant economic downturn)?
- You may cross-reference and summarize your response to the Most Impacted and Distressed threshold, if such a condition(s) is described there?
- What have you already done to address the risk from this vulnerability(ies)? What barriers are keeping you from completing a solution?

Factor 3:

Phase 1 Soundness of Approach

Maximum Points: 30

Overall in this factor, HUD will evaluate your responses for clarity, thoroughness, completeness, and inclusion of the input from, needs of, and potential benefits to vulnerable populations and the businesses that employ and serve them.

HUD will evaluate your responses related to the Consultation subfactor based on the overall breadth of your consultation (and planned consultation) with regional local governments, state agencies, and stakeholders and their involvement in framing issues, determining priorities.

HUD will evaluate responses related to the Idea or Concept subfactor based on innovativeness, relevance of the idea or concept to the expressed needs and objectives, and the extent to which the idea expressed involves cross-disciplinary or greater regional approaches, with a special focus on issues of importance to vulnerable subpopulations.

a. Consultation. (15 Points)

Framing a disaster recovery problem generally requires discussion with stakeholders to increase the Applicant's awareness of their recovery needs, community development issues and priority vulnerabilities. At the same time, the Applicant can provide data and technical assistance to increase stakeholder ability to contribute to the framing process.

- What are your plans for collaboration, outreach, and communication?
- What have you already discussed with stakeholders?
- Who are the stakeholders for this project, and how have you worked with them on developing this proposal?
- How will you work with them if you are selected to go forward to Phase 2?
- How have you involved the greater community, especially vulnerable populations, in the development of this proposal?
- How have you worked with advocacy groups or directly with vulnerable populations to best identify their needs in the proposed approach?

- Did any of your discussions with stakeholders bring to light potential cumulative impacts of your risks and vulnerabilities? Describe.
- Have you considered and discussed with stakeholders the indirect risks and vulnerabilities in the environment of your most impacted and distressed target area and (optionally) region or state, with particular attention to potential sources of contamination, such as wastewater treatment facilities or brownfields?
- How have the results of the collaboration with stakeholders, project partners, and/or citizens shaped your proposal?

Provide a summary of the consultation process and complete and submit the Consultation Summary form in Appendix I.

b. Idea(s) or Concept(s). (15 Points)

- Do you have an idea(s) or concept(s) that will address identified unmet needs and the risks and opportunity(ies) of your vulnerability(ies) in a way that will make you more resilient?
- What is/are your general idea(s)? Build something? Relocate something? Finance something?
- Are you open to alternatives, or are you already committed to a particular approach?
- What actions have you already taken to make your state/community more resilient?
- Do you want to augment or replace existing actions?
- How will you ensure your idea will be feasible and effective at supporting recovery and resilience?
- Does your idea provide long-term or permanent resilience?
- How are you considering potential co-benefits of implementing your idea (e.g. environmental and human health, workforce and business development)?
- Are there other community development objectives that can be met through your resilience project(s)?
- How does your idea represent integrated thinking across disciplines such as those listed in Phase 2 of the Capacity Factor?
- How has or will your proposal involve and address residents and small businesses that are least resilient or most vulnerable to future threat(s) and hazard(s), including future effects that may be caused by climate change?
- How will your idea affect adjacent areas (positively or negatively)? Describe both potential positive and negative effects.
- What are the local and regional interdependencies among sectors (e.g., housing, transportation, energy, environmental)? If you don't know, how have you or will you collaborate with your neighbors to learn about and consider these issues?
- Can you resolve your vulnerability(ies) and meet unmet recovery needs inside your jurisdiction, or will you need to work with other UGLGs or state(s) or regional organizations? If you need others, have you already approached them? If yes, are they supportive of this application? Do you have a formal agreement to cooperate? In what disciplines or areas?
- Can any other jurisdiction prevent you from addressing the risks from this vulnerability using your approach? Are there cross-jurisdictional mechanisms (plans, commitments, bodies with decision-making authority) that are already in place to support this activity?
- Characterize your community's overall approach to resilience now and in the foreseeable future. Characterize your community's approach to resilience incorporating risks associated with climate change.

- Does your most impacted and distressed target area(s) and region or state participate in the National Flood Insurance Program (NFIP) Community Rating System? Do you participate in any other state, regional, national, or international program that rates overall community commitment to resilience? If yes, briefly describe your commitment, rating, and results. Does your state or community have a climate change adaptation plan? If yes, briefly describe the actions it outlines.

Factor 4: Phase 1

Leverage and Outcomes

Maximum Points: 15

HUD is linking leverage and outcomes in this section because resilient recovery projects usually need resources across a significant time period to achieve their goals and sustain their usefulness. Thus, leverage consists not only of planning, design and construction or implementation resources, it may also include resources to maintain or expand the improvement into the future, throughout its intended useful life.

Maintaining an improvement across time is often easier if the improvement was designed and developed to be effective given future conditions and to be sustainable, in the sense of using green or natural resources or approaches compatible with or supporting the natural environment. Leverage may also include extending your resilience investments beyond the most impacted and distressed area(s) where you are allowed to use your CDBG-NDR assistance.

a. Outcomes (7 Points).

For this rating subfactor, HUD will evaluate the degree to which your responses to the prompts demonstrate that you are seeking to achieve multiple disaster recovery (past) and community development objectives such as vulnerability and risk reduction (future) benefits in its effort. HUD will consider the degree to which you are seeking co-benefits from your proposed approach and that you measure and evaluate those benefits.

- How long do you want your solution to last?
- Are you considering a large-scale up-front effort followed by limited maintenance (such as a flood or fire buyouts program) or a multi-phase construction project that will continue over time and require substantial resources to maintain it, such as construction of sections of a levee?
- Have you considered infrastructure solutions, such as green or nature-based infrastructure, that provide co-benefits, like recreational opportunities, stormwater management, summer cooling, or habitat?
- Whether or not your idea involves infrastructure investment, what are the potential co-benefits of implementing your idea?
- How can your idea be implemented in an environmentally and financially sustainable way?
- Can your response to your vulnerability be an opportunity to bring one or more potential community assets, such as unemployed persons, Section 3 residents and businesses, or blighted property, into place/condition to help economically revitalize your most impacted and distressed target area, and region or state?
- What will success look like to you and how will you measure it?
- What specific program evaluation factors will you measure and incorporate in your Phase 2 proposal (if selected)?

b. Leverage (6 Points).

For this rating subfactor, HUD will consider the degree to which you demonstrate commitment as an indicator of support in the community for the CDBG-NDR effort and the extent to which your response to narrative questions indicates a thorough exploration of potential funding and financing sources.

- What local or regional partners or resources are you aware of that could potentially address the implementation and maintenance aspects of your response to your vulnerability?
- What conversations have you had with insurance or reinsurance representatives to discuss how your issues and vulnerabilities might affect risk considerations and insurance premiums for public and private property in your most impacted and distressed target area, and region or state?
- How your idea might affect risk considerations or attract co-funding from insurers or other community stakeholders?
- How can potential co-benefits of implementing your idea (e.g. environmental and human health, workforce development) contribute toward its financing? Alternatively, what are the cost savings (by general type and order of magnitude) that you envision as part of the co-benefit approach (e.g. investment in X also saves money on Y)?
- What are the streams of public funding that are likely to be used differently as a result of this approach? For how long?
- To what extent do you have commitments that extend the reach of your idea or concept beyond the most impacted and distressed area eligible for CDBG-NDR funding?
- How far does your idea indicate your project may extend – multi-county, regional, statewide?

To the extent you have commitments at the time of a Phase 1 submission to support planning and future implementation activities, applicants must demonstrate supporting commitments in accordance to the guidance provided under Factor 4: Phase 2 Leverage.

Committed Leverage Resources (up to 2 Points).

You will receive **1** point if your application includes a total commitment of direct financial assistance (e.g. cash) in an amount not less than \$50,000 from either yourself or a unit of general government Partner or a philanthropic organization and **2** points if the amount is not less than \$250,000.

Note that grantees will be required to show evidence that committed leverage resources were actually received and used for their intended purposes through quarterly reports as the project proceeds. Sources of leverage funds may be substituted after grant award, as long as the dollar commitment is met.

**Factor 5: Phase 1
Regional Coordination and Long-term
Commitment**

Maximum Points: 5

Describe any significant or major steps you have already taken or are seriously considering that commit you to increasing the resilience in your jurisdiction regardless of whether you receive a CDBG-NDR award.

HUD will only award points for Phase 1 or invite an Applicant to Phase 2 if it has already taken (after the date of the Qualified Disaster) or firmly commits to take within one year of the

announcement of Phase 2 results, one or more actions improving permanent resilience in a geography including at a minimum its most impacted and distressed target area(s).

HUD will evaluate your response this factor by assigning points for local, regional, and state commitments that may be reasonably expected to increase resilience.

Up to 5 points are available for categories and examples of changes that will be highly considered. In evaluating this factor, HUD will take into account the geographic scale of the area served by the resilience improvement or protection, and the degree to which the action as you describe it will clearly result in a significant improvement in resilience from the existing status or policy baseline for the area before the date of the Qualified Disaster. HUD will also take into account significant new actions taken after the date of NOFA publication.

To receive points for this factor, you must provide a baseline and a goal outcome measure for at least one metric, (e.g., number of persons, households, businesses, acres of land, structures for XXX years) expected to be positively protected by each action or commitment. You must also provide the actual or planned effective date of any change.

Examples:

- Lessons learned.
- Legislative action
- Raising standards
 - If your community is subject to flooding, do you or will you require freeboard above the minimum NFIP requirements?
 - Have you or will you raise standards for permeable surfaces and include green roof requirements for new construction or substantial reconstruction in the floodplain?
 - Have you implemented or will you enhance state or local wetlands preservation mechanisms or requirements, including a compensatory mitigation plan, statute or ordinance, or have you or will you otherwise guarantee that wetlands within the 500 and 100 year floodplains will be preserved?
 - For non-flood hazards, describe the actions you have or will require beyond an established minimum standard to improve resilience.
 - model building codes
 - code supplements specific to non-flood hazard reduction.
- Resilience actions related to plan updates or alignment.
 - Transportation (for example, SIPs, TIPS, long range transportation plans)
 - Disaster Recovery and Hazard Mitigation (FEMA Hazard Mitigation Plans, prior CDBG-DR Action Plans)
 - Housing (HUD's Consolidated Plan, Continuum of Care commitments, Analysis of Impediments to Fair Housing Choice or Regional Fair Housing and Equity Assessments)
 - Economic Development (HUD's Consolidated Plan, EDA's CEDS plans, Promise Zones)
 - Environment (Watershed management plans, Intended Use plans for Clean Water and/or Drinking Water, Coastal Zone Management Plans)
 - Climate Action Plans (formulated at the county or state level)
 - Updated your land-use plans

- linked your hazard mitigation plan and land-use requirements to recognize post-disaster issues that may constrain your community's access to FEMA funding in the event of a disaster?
- "Enhanced" Multi-Hazard Mitigation Plan incorporating consideration of long-term threats imposed by climate change?
- Participating in the Federal Highway Administration's climate change vulnerability assessment program
- Resilience actions related to financing and economic issues.
 - Do or will you have a financing source or mechanism that is dedicated to addressing an identified risk or vulnerability?
 - Do you or will you have a financing source or mechanism available to improve the resilience of your stock of at-risk rental units in your most impacted distressed area, region, or state before, during, and after an extreme event?
 - Do you have a financing source or mechanism available to improve the resilience of employers of low- and moderate-income persons (such as Section 3 residents) in your most impacted distressed area, region, or state?
 - What actions will or have you take(n) to increase the percentage of appropriately and fully-insured private and public buildings, homes and businesses in your most impacted and distressed area, region, or state?